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# Open-Ended Problem-Solving Skills in Thermal Fluids Engineering\*

Nikos J. Mourtos  
Nicole DeJong Okamoto  
Jinny Rhee

*Department of Mechanical and Aerospace Engineering, San José State University  
San José, CA 95192-0087, United States of America*

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Problem-solving skills have always been important in many professions. However, ABET EC 2000 recently placed a new focus on these skills in engineering education with outcome 3e, which states that engineering graduates must *have an ability to identify, formulate and solve engineering problems*. Problem-solving is defined as a process used to obtain a best answer to an unknown or a decision that is subject to some constraints. Problem-solving is not the same as textbook exercise solving, which is very common in engineering curricula. In the article, the authors first define engineering problem-solving and, in particular, what it means to *identify and formulate* a problem. This definition will set the stage for identifying the skills that students need to acquire and the attributes they must possess in order to be classified as competent problem solvers. Next, the authors introduce sample problems that help students to master these skills. Finally, the data gathered regarding student performance in these types of problems is presented and analysed.

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## INTRODUCTION

Engineers by definition are problem solvers. Whether they are involved in analytical, experimental, computational or design work, engineers solve problems. Indeed, ABET EC 2000 recently placed new emphasis on these skills in engineering education with outcome 3e, which affirms that engineering graduates must *have an ability to identify, formulate and solve engineering problems* [1].

Yet real world problems tend to be quite different from most exercises found in engineering texts. While these exercises make an important first step in helping students bridge the gap between theory and application, they do not provide the complexity and depth necessary to master problem-solving skills. Many studies have found that engineering graduates, even though they solve more than 2,500 exercises in their under-

graduate work, lack the essential problem-solving skills needed to tackle real world problems [2].

In this article, the authors differentiate between problem-solving and exercise solving, the latter being very common in engineering curricula.

Table 1 shows the main differences between the two. Items 2 and 7 suggest that in real world problems engineers must first define the problem itself. They must decide what exactly they need to calculate to answer the question. This may involve translating a need expressed in layman's jargon into engineering terms. Moreover, items 1 and 4 suggest that, in real world problems, engineers have to formulate the problem. They must decide what is the appropriate theory applicable to a given situation and what approach they will follow to calculate the unknown quantities. This step requires additional assumptions (modelling), which allow complicated fundamental equations to be reduced into simplified forms that can be solved.

Students who train mostly in exercise solving tend to develop a serious handicap. They rely heavily on solutions they have seen before, rather than working directly from first principles. Thus, a problem with a brand new context can present a formidable challenge to them.

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Table 1: Problem-solving versus exercise solving.

	Problem Solving	Exercise Solving
1.	Involves a process used to obtain a best answer to an unknown; and is subject to some constraints.	Involves a process to obtain the one and only right answer for the data given.
2.	The situation is ill-defined. There is no problem statement and there is some ambiguity in the information given. Students must define the problem themselves. Assumptions must be made regarding what is known and what needs to be found.	The situation is well defined. There is an explicit problem statement with all the necessary information (known and unknown).
3.	The context of the problem is brand new (ie the student has never encountered this situation before).	The student has encountered similar exercises in books, class or homework.
4.	There is no explicit statement in the problem that tells the student what knowledge/technique/skill to use in order to solve the problem.	Exercises often prescribe assumptions to be made, principles to be used and sometimes they even give hints.
5.	There may be more than one valid approach.	There is usually one approach that gives the right answer.
6.	The algorithm for solving the problem is unclear.	A usual method is to recall familiar solutions from previously solved exercises.
7.	Integration of knowledge from a variety of subjects may be necessary to address all aspects of the problem.	Exercises involve one subject and, in many cases, only one topic from this subject.
8.	Requires strong oral/written communication skills to convey the essence of the problem and present the results.	Communication skills are not essential, as most of the solution involves mathematics and sketches.

**DEFINITION OF PROBLEM-SOLVING SKILLS**

Woods et al assert that students who are problem solvers exhibit the following attributes:

- Are willing to spend time reading, gathering information and defining the problem (affective – level 2);
- Use a process, as well as a variety of tactics and heuristics in order to tackle problems (cognitive – level 4);
- Monitor their problem-solving process and reflect upon its effectiveness (cognitive – level 4);
- Emphasise accuracy rather than speed (affective – level 3);
- Write down ideas and create charts/figures while solving a problem (cognitive – level 3);
- Are organised and systematic (affective – level 4);
- Are flexible (keep options open, can view a situation from different perspectives/points of view) (affective – level 4);
- Draw on pertinent subject knowledge, and objectively and critically assess the quality, accuracy and pertinence of that knowledge/data (cognitive – level 3);
- Are willing to risk and cope with ambiguity,

welcoming change and managing stress (affective – level 4);

- Use an overall approach that emphasises fundamentals, rather than trying to combine various memorised sample solutions (cognitive – level 4) [2].

It is interesting to note that these attributes come from both the affective and the cognitive domains in Bloom’s taxonomy of educational objectives [3][4]. The five levels of competence in the affective domain are as follows: *receiving* (a stimulus); *responding* (to a stimulus); *valuing* (an object or a behaviour); *organisation* (of values into a system); and *characterisation* (by a value complex). The six levels of competence in the cognitive domain are as follows: *knowledge* (recognise/recall information); *comprehension* (understand the meaning of information); *application* (use information appropriately to solve well-defined problems); *analysis* (deal with ambiguity in new, ill-defined situations, formulate models); *synthesis* (combine elements in novel ways to generate new products or ideas); and *evaluation* (judge the worth of ideas, theories and opinions, choose from alternatives, and justify choice based on specific criteria).

This observation suggests that students need to first develop certain attitudes before they acquire the skills necessary to tackle open-ended problems. Moreover,

level 4 is the minimum level of competence required in both domains to perform as an expert problem solver.

## PROBLEM-SOLVING METHODOLOGY

The first step in tackling open-ended problems is to adopt a proper methodology. There are many such approaches available in the literature [5][6]. Wood's method has been selected because it was developed specifically for engineers [6]. The steps of this methodology are detailed below.

### 0. Engage in the problem (motivation):

- I can do it!
- I want to do it!

Engagement involves attention, which comes as a result of a perceived need or purpose in the first place. According to Cambourne, engagement is one of the conditions that must be satisfied for any learning to occur [7]. Students will engage if they are convinced they can solve the problem and if they see it as having some relevance to their own lives.

### 1. Define the problem:

- Define what the problem states;
- Sketch the problem (if appropriate);
- Determine the given information;
- Determine any constraints;
- Define a criterion for judging the final product.

### 2. Explore the problem:

- Determine the real objective of the problem;
- Examine the issues involved;
- Make reasonable assumptions;
- Guestimate the answer.

### 3. Plan the solution:

- Develop a plan to solve the problem;
- Map out any sub-problems;
- Select the appropriate theory, principles and approach;
- Determine any information that needs to be found.

### 4. Implement the plan.

### 5. Check the solution:

- Check the accuracy of the calculations (redo);
- Check the units of the calculated parameters.

### 6. Evaluate/reflect:

- Is the answer reasonable? Does it make sense?
- Were the assumptions appropriate?
- How does it compare to the guestimate?
- If appropriate, ask the question: is it socially/ethically acceptable?

The following sections provide examples of open-ended problems from fluid mechanics, aerodynamics, thermodynamics and heat transfer in order to demonstrate how this method can be applied in various situations.

## AN OPEN-ENDED PROBLEM FROM FLUID MECHANICS

The following open-ended problem from fluid mechanics is presented:

*The party is over and it is raining hard. Your car is parked a couple of blocks away. The way to your car is open, exposed to the rain. You are wearing your new, designer clothes. You just got the first monthly statement and it hurts. You want to make sure you soak them as little as possible. You have no umbrella. You are getting ready to run as hard as you can when all of a sudden, you start doubting whether this is the best way to save your clothes. Should you walk instead? The decision is too important to leave to chance. Besides, you are an engineer. You walk back into the building, pull out a pencil and a piece of paper and start looking for the right answer...*

*0. Engage in the Problem:* Many of the students have probably experienced the dilemma described in this problem. Hence, there is usually genuine interest in knowing what one should do in this situation.

*1. Define the problem:* Students realise that the criterion for deciding whether to walk or run will be the amount of water absorbed by their clothes in the two cases of walking and running the distance from the building to their car. There are absolutely no numbers given in this problem. Students need to translate the distance (two blocks) into metres (eg 200 m) and the *heavy rain* into a number of droplets per unit volume ( $\text{m}^3$ ). The constraints are that they have no umbrella, and the way to their car is open and exposed to the rain (see Figure 1).

*2. Explore the problem:* Students realise that they need to calculate either the volume ( $\text{m}^3$ ) or the mass



Figure 1: Illustration of the rain problem.

(kg) of water absorbed by their clothes while walking/running to their car. They realise that they will get wet mostly on the top and the front of their bodies. One of the main issues in this problem, which makes it different from other problems, is that they have seen in fluid mechanics that water flow due to rain is not continuous. Hence, they need to estimate a flow rate  $Q$  ( $m^3/s$ ) from the number of droplets per unit volume ( $y$ ), the vertical speed ( $V_{rain}$ ) and the volume ( $u_{droplet}$ ) of each droplet. All three of these parameters need to be assumed (some reasonable values for these parameters are:  $y = 2 \times 10^3$  droplets/ $m^3$ ,  $V_{rain} = 5$  m/sec,  $u_{droplet} = 9$  mm<sup>3</sup>). Additional assumptions involve their walking speed and running speed (for example,  $V_{walk} = 1$  m/sec,  $V_{run} = 4$  m/sec). To simplify the problem, they assume that there is no wind, so the rain falls vertically at a constant speed. At this point, students guess that it is probably better to run than to walk; however, their estimates of how much water is actually absorbed into their clothes in each case are not always realistic.

3. *Plan the solution:* Students draw a control volume around a human body of typical dimensions (see Figure 2). They divide the problem in two parts: calculate how much water enters the control volume from the top; and calculate how much water enters the control volume from the front. They define quantities such as:  $f = \#$  of droplets per unit area, per unit time, which can be found from:  $f = y V_{rain}$

They can now write an expression for the flow rate ( $m^3/s$ ) through the top surface of the control volume:  $Q_{top} = A_{top} \phi u_{droplet}$

Similarly, the flow rate through the front surface of the control volume can be written as:

$$Q_{front} = A_{front} V_{run/walk} \psi u_{droplet}$$

Further examination of these two expressions reveals that while the volume of water absorbed through the top depends on time, the volume of water absorbed through the front depends only on the distance covered and the dimensions (height and width)

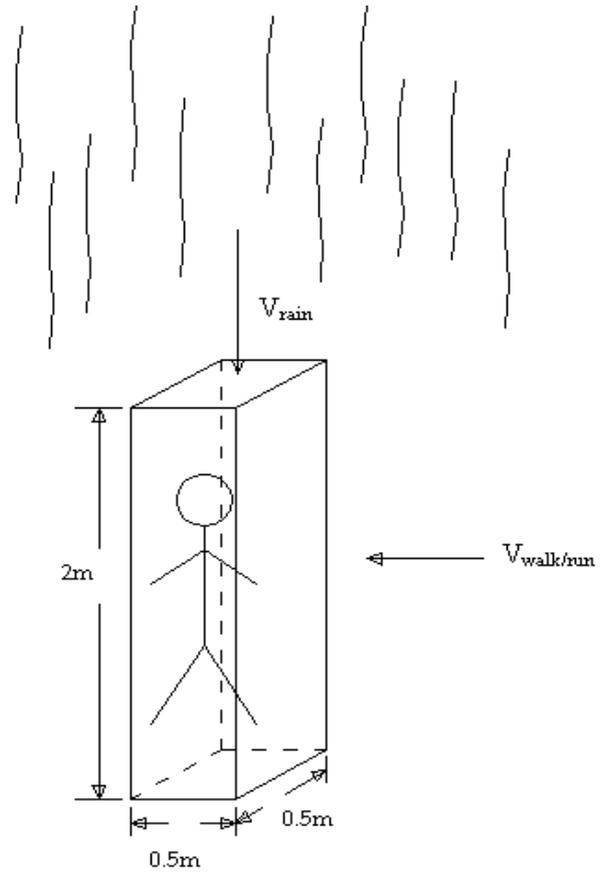


Figure 2: Control volume around a human body incorporating some of the assumptions made in step 2.

of the control volume. This leads to the realisation that at any given time, there is a fixed number of droplets in the space swept by the control volume. These droplets will be absorbed regardless of the speed a person moves through this space. This point is usually a revelation for most students.

4. *Implement the plan:* Students simply substitute into their equations the values assumed for each quantity and carry out the calculations.

5. *Check the solution:* Students check the accuracy of their calculations and the correctness of units. This is especially critical when new quantities are introduced, such as  $f$  and  $y$ .

6. *Evaluate/reflect:* Students check whether their answer makes sense. For example, if they estimate the amount of water absorbed to be 0.5 kg, then that is reasonable. On the other hand, if their estimate turns out to be 15 kg (too large) or 0.5 g (too small), it would not be acceptable. In some cases, students make unrealistic assumptions (eg  $y = 10^6$  droplets/ $m^3$ ) resulting in a huge volume of water absorbed by their clothes. At this point, they need to recognise this, go back, revise their assumptions and rework their solution so as to obtain a more reasonable answer.

## AN OPEN-ENDED PROBLEM FROM AERODYNAMICS

The following open-ended problem from aerodynamics is presented:

*Consider an airplane in flight. Which aerodynamic surface is working harder to generate lift: the wing or the horizontal stabilizer? Why?*

*0. Engage in the Problem:* In this problem, the engagement may actually come from the ambiguity of the question itself. Why would the wing have to work harder than the tail? Or is it the other way around? How would I know when one surface works harder than the other?

*1. Define the problem:* Students realise that in order to answer the original question, they must compare the angle of attack and the vortex drag for the two surfaces. To make the comparison fair, they need to assume that the wing and the horizontal stabiliser generate the same lift coefficient ( $C_L = L/qS$ , where  $L$  is the lift generated by each surface,  $q$  is the free stream dynamic pressure, and  $S$  is the gross projected area of each surface). A sketch illustrating the problem is shown in Figure 3.

*2. Explore the problem:* To compare the angle of attack and the vortex drag of the wing and the tail, four questions must be answered, namely:

- Which surface experiences greater downwash?
- How much higher is the downwash on this surface?
- How does this information, once known, translate into angle of attack for each surface?
- How does this information, once known, translate into vortex drag for each surface?

One of the main issues involved is how to model the wake of the wing and the tail. There are at least six models/approaches that could be used to answer the questions outlined in step 2: the horseshoe vortex, Prandtl's lifting line, the lifting surface, the vortex

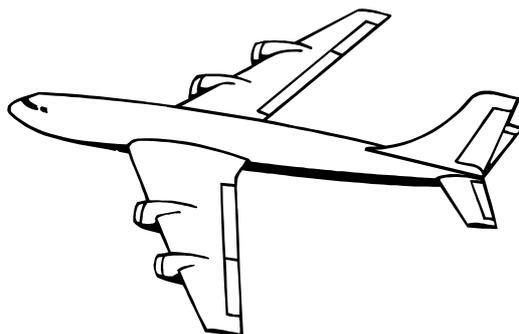


Figure 3: Sketch of an airplane in flight.

lattice, panel methods and computational fluid dynamics (CFD). Students are expected to use the simplest model, which is the horseshoe vortex. However, they need to be aware of its limitations, as well as the existence of all the other models, should they ever need a more accurate estimate later on.

Students need to make the following assumptions to make the problem manageable:

- The wing and the horizontal stabiliser have the same planform design and the same airfoil, so that any differences in performance are due to their location only;
- As a first approximation, the wake of the horizontal stabiliser can be neglected, since for level flight it generates only a small fraction of the lift generated by the wing;
- The downwash at the centre of each surface is representative of the average downwash on that surface;
- The airplane is flying at a constant speed and altitude, so that all parameters involved are independent of time.

At this point, some students will guess that the tail is working harder than the wing.

*3. Plan the solution:* The plan may be as follows:

- Select a model for the wake of the wing (see Figure 4);
- Use this model to calculate the downwash on the wing and the tail;
- Calculate the induced angle of attack of each surface;
- Calculate the vortex drag of each surface;
- Compare the values for the wing and the tail and draw a conclusion.

Students will actually have to solve two sub-problems. First, they need to calculate the downwash, induced angle of attack and vortex drag of the wing due to its own wake. Second, they have to calculate the downwash, induced angle of attack and vortex drag of the horizontal stabiliser due to the wake of the wing.

*4. Implement the solution:* 1<sup>st</sup> sub-problem (wing) The downwash at the centre of the wing is induced by its own two semi-infinite, tip vortices:

$$w_w = 2 [\Gamma/4\pi(b/2)] = \Gamma / \pi b$$

Here,  $\Gamma$  is the strength of the wingtip vortices and can be estimated from the weight of the airplane. The induced angle of attack of the wing is  $\alpha_{iw} = w_w/V$ , where  $V$  is the speed of the plane. Finally, the vortex (or induced) drag of the wing is  $D_{iw} = L \alpha_{iw}$ , where  $L$  is the lift of the wing, which may be assumed equal to the weight of the airplane.

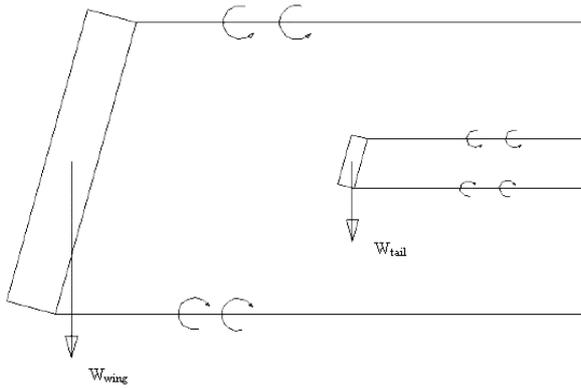


Figure 4: Sketch of the selected model showing the tip vortices (wake) of the wing and the horizontal stabiliser.

2<sup>nd</sup> sub-problem (horizontal stabiliser): The downwash at the centre of the horizontal stabiliser is induced by the two tip vortices of the wing. However, because this is a big airplane, the wing is located a fairly long distance ahead of the tail, to which the wingtip vortices appear as infinite. Hence,

$$w_h = 2 [\Gamma/2\pi (b/2)] = 2\Gamma / \pi b = 2 w_w$$

The induced angle of attack of the tail is

$$\alpha_{th} = w_h / V = 2 w_w / V = 2 \alpha_{tw}$$

Finally, the vortex drag of the tail is

$$D_{ih} = L_h \alpha_{th}$$

where  $L_h$  is the lift of the horizontal stabiliser, which for level flight may be assumed to be a small percentage of the lift of the wing.

5. *Check the solution:* Students check the accuracy of their calculations and the correctness of units.

6. *Evaluate/reflect:* Students can check that if the assumption of negligible tail wake is relaxed, the result does not change much. The tail does indeed work harder than the wing to generate the same lift coefficient because the downwash it experiences is almost twice as great as the downwash on the wing. One of the important conclusions is that a wing that flies through clean air works more efficiently, while a wing that flies through the wake of another surface has to compensate by flying at a higher angle of attack to generate the same lift. As a result, it generates more drag.

### AN OPEN-ENDED PROBLEM FROM THERMODYNAMICS

The following open-ended problem from thermodynamics is presented:

*A nuclear power plant, whose dimensions and initial conditions are given, develops*

*a break in its main steam line. Sensors detect the accident and cause the reactor to shut down. Because the reaction cannot be stopped instantaneously, there is some residual energy transfer from the fuel rods into the reactor vessel that decays to zero after a given amount of time. The high-pressure steam in the reactor vessel leaks out of the steam line and starts to fill the primary containment compartment known as the drywell. The mixture in the drywell then enters the secondary containment compartment known as the wetwell, which contains a large mass of sub-cooled water. The water is used to condense steam from the accident and thus limit the pressure response. Is this design sufficient for keeping the pressure below design limits in the event of this accident?*

Figure 5 illustrates a schematic of a nuclear power plant giving dimensions and initial conditions.

The containment design parameters are as follows:

- Design pressure: 0.31 MPa;
- Drywell volume: 7,350 m<sup>3</sup>;
- Initial wetwell air volume: 5,960 m<sup>3</sup>;
- Initial suppression pool volume: 3,580 m<sup>3</sup>;
- Initial drywell/wetwell pressure: 0.1 MPa;
- Initial drywell/wetwell temperature: 32°C.

The other design parameters are as follows:

- Initial RPV pressure: 7.0 MPa;
- Initial power output: 3,000 MW;
- RPV steam volume: 2,000 m<sup>3</sup>;
- Initial RPV steam quality: 30%.

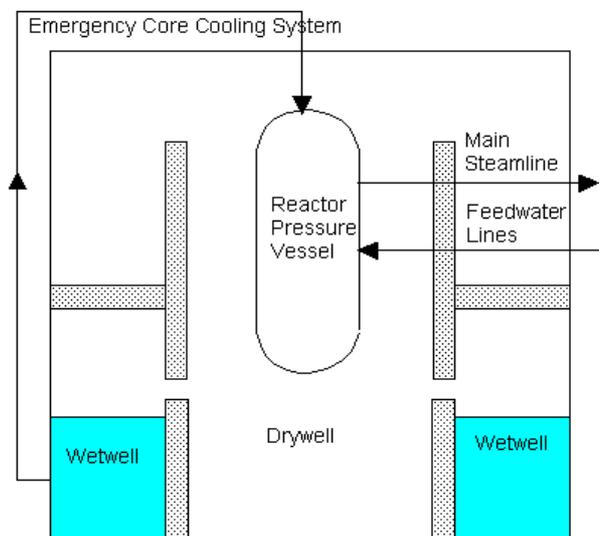


Figure 5: A schematic of a nuclear power plant showing dimensions and initial conditions.

*0. Engage in the Problem:* In the lectures preceding this assignment, the equations of state and applicable theory behind air/water vapour mixtures and simple compressible substances are discussed from a mathematical standpoint. However, it is hard to guess how tangible properties, such as pressure and temperature, will behave just by looking at the applicable partial differential equations, which are functions of enthalpy, internal energy and entropy. This problem helps students make assumptions, generate a methodology to arrive at a solution and attach measurable quantities to more abstract ones.

*1. Define the problem:* This problem incorporates many technical topics discussed in an advanced thermodynamics course, but leaves the details of the approach and implementation up to the student. There are three subsystems in this problem, namely: the reactor vessel, the drywell and the wetwell. Students realise that the mass and internal energy (in addition to all other properties) vary with time; therefore, unsteady mass and energy balances will be required to determine the pressure response in each subsystem. The maximum pressure in the drywell and the wetwell can then be compared to the design limit to determine if the criterion is met. The constraints include pressure-driven flow from the reactor vessel to both containment compartments, and pump flow from the wetwell back to the reactor vessel.

*2. Explore the problem:* The initial conditions and the criterion for judging the final answer are stated, but the applicable assumptions and available tools are not. The unsteady mass and energy balances require the evaluation of derivatives that are a function of multiple interdependent thermodynamic properties. In addition, the determination of properties for a transient problem can be tedious if there are no applicable equations of state. Some numerical tools available include a simultaneous equation solver with property look-ups (EES, F-Chart Software), and Microsoft *Excel* with the thermophysical property module. It might be a reasonable assumption to assume that thermodynamic equilibrium exists in each compartment at each time step so that properties can be determined. The reactor vessel can be modelled as a homogeneous mixture of steam and water. The drywell is a non-reacting mixture of air and steam. The wetwell is a two-phase system with an air and water vapour mixture above a sub-cooled liquid water pool. At thermodynamic equilibrium, the relative humidity is 100%. Because the mass of water vapour in the airspace is insignificant compared to the wetwell pool, the computations are simplified and the error introduced is minimal if the humidity is assumed to be 0%. It can be reasoned that at steady state, much of the energy initially in the reactor vessel

will end up in the wetwell pool. Students may guess that a maximum pressure will be reached at some time after the start of the accident and will then subside to the steady state solution.

*3. Plan the solution:* Students write down the equations for mass and energy conservation for each subsystem. The mass flow rates are then modelled as proportional to the time dependent pressure difference between two subsystems. The derivatives in the mass and energy balances can be evaluated numerically with EES or *Excel*. Once the mass and internal energy of each subsystem is known at each time step, the corresponding enthalpy, pressure and temperature can then be evaluated.

*4. Implement the plan:* Students enter their equations into a numerical solver and carry out their calculations. Some level of programming skills and, in particular, systematic debugging skills are required for this assignment. Graphs showing the time-dependent solutions are generated.

*5. Check the solution:* The solution can be compared to several benchmarks to ensure it is reasonable. Does the steady state solution make sense, and is it what was expected? Is the total mass in the system constant as dictated by control mass analysis? Does the total energy of the system increase by the amount of residual energy transfer from the fuel rods?

*6. Evaluate/reflect:* Students check if the maximum pressure in the drywell and wetwell exceeds the design pressure as requested by the assignment. If not, they reflect on differences between the model generated for the assignment and additional emergency response systems in actual power plants that may work to further reduce pressure responses.

## AN OPEN-ENDED PROBLEM FROM HEAT TRANSFER

The following open-ended problem from heat transfer is given here:

*Your job is to design an experiment to determine the thermal conductivity ( $k$ ) of a solid metal rod. The rod has a diameter of 7 cm and a length of 12 cm. The material is unknown. You may machine this rod any way you wish for your design. You may assume that the rod has a thermal conductivity in the range of 10-200 W/mK. Make sure that your uncertainty in temperature provides no more than 10% uncertainty in your calculated value of  $k$ . For ideas about equipment, you may wish to look at [www.omega.com](http://www.omega.com). Deliverables include a*

memo summarizing your design, a list of the equipment that you will use, a detailed sketch of your design, including dimensions, and a page giving sample calculations.

0. *Engage in the Problem:* Throughout the semester, students use tabulated property data from their textbooks in order to solve exercises. This problem illustrates how one of those properties – thermal conductivity – can be determined when no such data is available. In addition, there is a laboratory associated with this class where students run laboratory experiments that have already been designed and set up for them. In these laboratories, students use some of the equipment that they can incorporate into their designs (eg thermocouples, resistance heaters, power supplies, etc). This project helps them think about issues involved with designing such experiments. Both of these reasons help generate student interest in the project, and the problem is simple enough (at first glance) to make students confident that they can do it.

1. *Define the problem:* Students must find  $k$ . Since  $k$  can change with temperature, they must realise that these changes tend to be small for metals over a limited temperature range. Therefore, they are looking for  $k$  at some average temperature. The only constraints deal with the uncertainty in temperature and the shape of material given to them. Figure 6: Student-produced drawing for the heat transfer problem.

2. *Explore the problem:* After some brainstorming, most students realise that this problem can be solved either by assuming that the metal acts like a fin, or else that heat transfer through the metal is one-dimensional (other solutions are also possible). Most students use the latter assumption. For homework exercises, students are usually told when they can

assume that heat transfer is one-dimensional. Here, they must design their experiment such that this assumption is valid. Students must also realise that the greater the temperature difference from one side of the metal to the other, the less the temperature uncertainty will affect the final value of  $k$ .

3. *Plan the solution:* For the one dimensional (1-D) heat transfer solution, Fourier's Law applies:  $\dot{Q}_x = -kA dT/dx = -kA \Delta T/\Delta x$ . Here,  $\dot{Q}$  is the applied rate of heat transfer (Watts in the SI system),  $A$  is the cross-sectional area of the block,  $DT$  is the temperature difference between two thermocouples located towards either side of the block, and  $Dx$  is the distance between the thermocouples.

4. *Implement the solution:* Students must provide enough insulation so that any heat loss through the sides of the block is negligible compared to the heat loss from the end for the 1-D assumption to hold. A fan or cold water supply should be added to enhance heat loss from the end. Students must include a heat source, such as a resistance heater, that provides a value of  $\dot{Q}$  that can be measured accurately and applied to the cylinder base with negligible losses.  $\dot{Q}$  must be large enough that  $DT$  is large enough for the uncertainty in temperature to have only a small effect on the final value of  $k$ . They must make sure that their thermocouples accurately measure the cylinder temperature; many of the groups use a thermally conductive paste or epoxy to help achieve this goal.

5. *Check the solution:* Sample calculations will prove whether or not the uncertainty in temperature has a small effect. Quick calculations that compare the heat loss through the insulation to the heat loss from the end can justify the 1-D assumption.

6. *Evaluate/reflect:* Although students do not need to build their apparatus, they need to determine whether

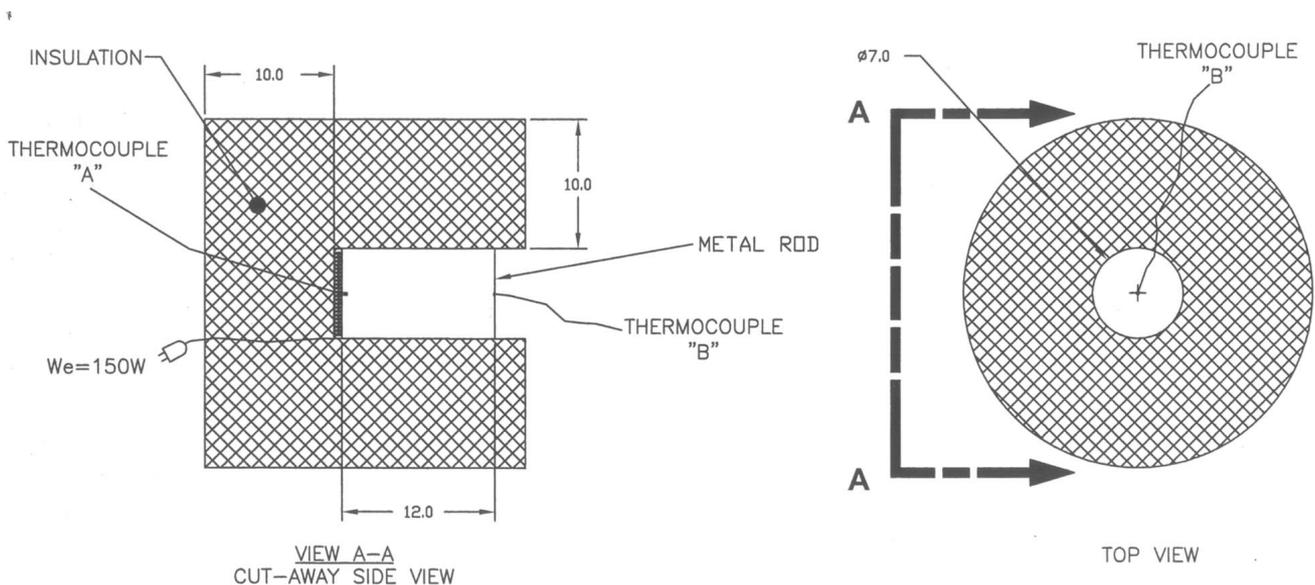


Figure 6: Student-produced drawing for the heat transfer problem.

or not their design is practical to implement. Can their apparatus be cheaply built? Is the rate of heat transfer small enough so that it can be applied with readily available resistance heaters or power supplies? Are the temperatures small enough not to burn up their insulation? If not, they must revisit their design.

### ASSESSMENT OF STUDENTS' PROBLEM-SOLVING SKILLS

Open-ended problems are assigned in teams because research has shown that cooperative learning stimulates higher order thinking [8]. This is a must for open-ended problems. Table 2 summarises the performance of the students in the fluid mechanics and the heat transfer problems.

In 2001, the rain problem was briefly introduced in class. Students worked in teams, without any interaction with the instructor, except during the presentation of their solution in class. In 2003, students received more guidance through an in-class discussion. However, the methodology presented here was not given to them until after they presented their solutions in class. All the teams who received high scores spent a considerable amount of time interacting with the instructor asking questions and checking their models, assumptions and results before turning in their final report. On the other hand, it became obvious that students who received low scores had not spent enough time on the problem.

The steps that presented the most difficulty for students were as follows:

- Making a reasonable assumption for  $\psi$  (# of droplets per unit volume);
- Translating the non-continuous rain flow into water flow rate ( $Q$ ) through the top and the front of the assumed control volume;

Table 2: Student problem-solving performance in fluid mechanics and heat transfer.

	Fluid Mechanics Fall 2001	Fluid Mechanics Fall 2003	Heat Transfer Fall 2003
	<i>Rain problem</i>	<i>Rain problem</i>	<i>Thermal conductivity problem</i>
Score	N=28	N=46	N=50
70% or higher	8 (29%)	29 (63%)	31 (62%)
60-69%	4 (14%)	0 (0%)	10 (20%)
50-59%	6 (21%)	3 (7%)	1 (2%)
Lower than 50%	10 (36%)	14 (30%)	8 (16%)

- Making a reasonable guestimate of how much water is absorbed into their clothes;
- Checking for the correctness of units in the parameters they calculated;
- Recognising that their answer (kg of water absorbed into their clothes) was not reasonable. This is a direct consequence of the fact that they could not guestimate the answer;
- Communicating the essence of the problem, the approach they had chosen and the significance of their results.

The additional guidance they received in class in relationship to these steps explains why their scores were significantly better in autumn (fall) 2003. It is expected that an example problem presented in class, illustrating how to apply the six steps of the problem-solving methodology, will further improve their performance in future course offerings.

The thermal conductivity problem was given for the first time in autumn 2003. Students had difficulties in two main areas: justifying their assumptions and ensuring less than 10% uncertainty in  $k$  due to the temperature measurement uncertainty. Most students assumed that the heat loss through their insulation was negligible, but provided no calculations to prove that this was true. Further, despite a discussion in class of how to minimise experimental uncertainty, few students addressed the issue at all. Only one group addressed both of these issues correctly. Students who received a score of less than 50% had major problems with their designs, such as assuming 1-D heat transfer, when it clearly was not 1-D, or using steady-state equations for a transient experimental set-up. Some students also did not adequately reflect on their solution and thus ended up with designs that worked on paper, but would never work in reality (eg one group came up with a design that would have required a temperature difference of 20,000°C between two thermocouples if the metal thermal conductivity had been at the low end of the specified range).

The nuclear reactor problem was assigned for the first time in autumn 2003 and was graded in two stages. In the first stage, students were given two weeks to produce a set of equations describing their thermodynamic model, justify their assumptions and implement their solution. However, grading in the first stage was weighted more heavily towards modelling. The first submission was followed by an in-class discussion on the pros and cons of various assumptions, but did not specify which approach should be taken. Subsequently, students were given two more weeks to make further progress on the assignment. The grades in the second stage were weighted more heavily towards the implementation of

their solution and evaluation of the results. Table 3 summarises the degree of completion of the assignment in each stage. In the first stage, only one student (5%) made progress past the initial state with some significant implementation errors. However, in the second stage, nine students (52%) were able to progress past the initial state, with all but one successfully reaching a reasonable steady state solution. The completed solutions varied somewhat depending on the particular modelling and numerical assumptions used, but all were reasonable. These results show that problem-solving skills can indeed be taught; however, there is much room for improvement of the process.

**CONCLUSION**

The authors’ limited experience with open-ended problems in the four courses discussed here confirms the results from previous studies, namely that traditional exercises found in most engineering texts, although useful, do not adequately prepare engineering students for real-world problems [2]. Students seem to have great difficulty approaching these problems; however, they also seem to enjoy the challenge and perform reasonably well if given proper guidance.

Based on these observations, a few open-ended problems sprinkled in each course throughout the curriculum could have a significant impact in the following areas:

- Improving students’ problem-solving skills and, in particular, their ability to identify and formulate engineering problems;

Table 3: Degree of completion for the nuclear reactor problem.

	Stage 1: Outline approach, list assumptions, develop a model	Stage 2: Implement a solution and evaluate the results
Completed successfully	0 (0%)	8 (47%)
Attempted calculation past the initial state	1 (5%)	1 (5%)
Calculated initial state only	16 (95%)	7 (48%)
Remarks	Significant implementation and conceptual errors evident in most submissions	Minor implementation errors seen in 23% of complete solutions

- Increasing students’ confidence level in approaching real-world problems;
- Making a course more interesting and enjoyable for both the student and the instructor.

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**BIOGRAPHIES**



Prof. Nikos J. Mourtos teaches in the Department of Mechanical and Aerospace Engineering at San José State University (SJSU), San José, USA. He was awarded a PhD in aeronautical and astronautical engineering in 1987 from Stanford University,

and received his Engineer and MS degrees in the same field in 1983 and 1982, respectively, also from Stanford University. He received his BS in mechanical engineering in 1980 from the University of Patras, Greece.

Prof. Mourtos joined the faculty at the SJSU as a part-time instructor in 1985, while still working on his PhD. He has taught courses in both aerospace and mechanical engineering in a variety of subjects, such as statics, dynamics, fluid mechanics, aerodynamics, propulsion, aircraft design, plus introductory courses for freshmen.

His technical research interests include low-speed and high-angle of attack aerodynamics, boundary layers, modelling and control of vortical flows, and aircraft design. His educational research interests include active and cooperative learning, Problem-Based Learning (PBL), teaching and learning styles, and assessment.

He has received numerous awards, some of which are listed here. In 2003, he received the UNESCO International Centre for Engineering Education (UICEE) Silver Badge of Honour for *...distinguished contributions to engineering education, outstanding achievements in the globalisation of engineering education through activities of the Centre, and, in particular, for remarkable service to the UICEE*. In 2002, he was accorded the *College of Engineering McCoy Family Award for Excellence in Faculty Service*.

Furthermore, in 1997 and 1998, he was listed in the *Who's Who among America's Teachers: the Best Teachers in America Selected by the Best Students*. In 1996, he was bestowed with the *Presidential Special Recognition Award* for exceptional achievements in advancing the University's mission.

Prof. Mourtos is a Member of the American Society for Engineering Education (ASEE) and the UNESCO International Center for Engineering Education (UICEE).

Prof. Mourtos is married with two daughters. His other loves are flying small planes as a private pilot and running.



Nicole DeJong Okamoto is an assistant professor in the Mechanical and Aerospace Engineering Department at San Jose State University (SJSU), San José, USA. She received her BS from Calvin College and her MS and PhD from the University of Illinois at Urbana-Champaign. She taught at

Baylor University before moving to the SJSU in 2001. Her research interests include experimental convective heat transfer, thermal system design and modelling, thermal management of electronics and effective teaching methodologies. Her work has been published in periodicals such as the *Journal of Heat Transfer* and the *International Journal of Heat and Mass Transfer*.

She teaches both undergraduate and graduate classes related to heat transfer, thermodynamics, and thermal system design and modelling. She is also Director of the SJSU's Electronics Cooling Laboratory with Dr Jinny Rhee. From 2002 to 2003, she served as the chair of the New Engineering Educators Division of the American Society of Engineering Educators.



Dr Jinny Rhee received her BS (1989), MS (1990), and PhD (1995) in mechanical engineering from Stanford University. In 1995, Dr Rhee established a small consulting business, Rhee Thermosciences, which specialises in thermal analyses for mechanical and electrical design.

In January 2002, Dr Rhee joined the Department of Mechanical and Aerospace Engineering at San José State University in San José, USA. She teaches classes in thermodynamics, heat transfer and fluid mechanics at the undergraduate and graduate levels. She is active in consulting and research for the local industries in Silicon Valley, and continually strives to incorporate real-world engineering issues into the curriculum.



## **WORLD TRANSACTIONS ON ENGINEERING AND TECHNOLOGY EDUCATION**

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